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PATENT INFORMATION  
LAWSON

SN-US020633

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2005 AUG 10 PM 4:00

US PATENT & TRADEMARK  
OFFICE

In re Application of

Patent Art Unit: 3654

Ken'ichi KAWASAKI et al.

Examiner: Emmanuel M. MARCELO

Serial No.: 10/671,634

Filed: September 29, 2003

For: SPOOL FOR DUAL-BEARING REEL

REQUEST FOR REFUND OF WITHDRAWAL OF  
DEPOSIT ACCOUNT FUNDS

Assistant Commissioner of Patents  
Washington, DC 20231

Sir:

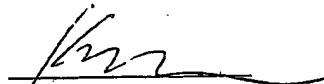
On January 12, 2005 a withdrawal of \$180.00 was made from our Deposit Account No. 50-1836 for Information Disclosure Statement as indicated by the Fee code (1806) shown on the attached copy of Monthly Deposit Account Statement.

Applicant respectfully submits that this withdrawal of \$180.00 was made erroneously. In particular, Applicant believes that no fee was required because the Information Disclosure Statement was filed on December 13, 2004, within three (3) months after the issuance date of the European Search Report (September 28, 2004) for the counterpart European Application. Attached is a copy of the Information Disclosure Statement submitted on December 13, 2004.

Thus, Applicant respectfully requests a refund of \$180.00.

Prompt and favorable action is solicited.

Respectfully submitted,

  
Kiyoe K. Kabashima  
Attorney of Record  
Reg. No. 54,874

SHINJYU GLOBAL IP COUNSELORS, LLP  
1233 Twentieth Street, NW, Suite 700  
Washington, DC 20036  
(202)-293-0444

Dated: July 29, 2005

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PATENT



SN-US020633

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Is Re Application of

Ken'ichi KAWASAKI

Serial No.: 10/671,634

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Patent Art Unit: 3654

Examiner: Emmanuel M. Marcelo

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner of Patents  
Washington, DC 20231

Sir:

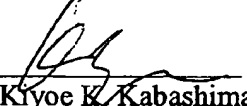
In accordance with MPEP 609 and 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant brings the attached reference to the Examiner's attention and requests that it be considered and made of record in this application. Also attached is a completed PTO-1449 form.

I hereby certify that each item of information in the Information Disclosure Statement filed herewith was cited for the first time in any communication from a foreign patent office in any counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. A copy of European Search Report of the corresponding European Application No. 03023624.4-2313- is attached hereto.

*No fee is believed to be required in view of the above certification.* However, Applicant authorizes the Commissioner of Patents and Trademarks to charge payment of the Petition fee Under 37 C.F.R. §1.17(p) *if required* and any other fees associated with this communication or credit any overpayment to Deposit Account No. 50-1836.

Prompt examination on the merits is respectfully requested.

Respectfully submitted,

  
Kiyoe K. Kabashima  
Attorney of Record  
Reg. No. 54,874

SHINJYU GLOBAL IP COUNSELORS, LLP  
1233 Twentieth Street, NW, Suite 700  
Washington, DC 20036  
(202)-293-0444

Dated: Per 13 2004

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Europäisches  
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Département à  
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80068 München  
ALLEMAGNE

<b>SONNENBERG FORTMANN</b>
ENG. / 1. Okt. 2004
WV: _____
FR: _____

Datum/Date  
28.09.04

Zeichen/Ref./Réf. 21032	Anmeldung Nr./Application No./Demande n°/Patent Nr./Patent No./Brevet n°. 03023624.4-2313-
Anmelder/Applicant/Demandeur/Patentinhaber/Propriétaire/Titulaire Shimano Inc.	

## COMMUNICATION

The European Patent Office herewith transmits as an enclosure the European search report for the above-mentioned European patent application.

If applicable, copies of the documents cited in the European search report are attached.

☒ Additional set(s) of copies of the documents cited in the European search report is (are) enclosed as well.

The following specifications given by the applicant have been approved by the Search Division:

☒ abstract

☒ title

☐ The abstract was modified by the Search Division and the definitive text is attached to this communication.

The following figure will be published together with the abstract:

1

## REFUND OF THE SEARCH FEE

If applicable under Article 10 Rules relating to fees, a separate communication from the Receiving Section on the refund of the search fee will be sent later.



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## ANNEX TO THE EUROPEAN SEARCH REPORT ON EUROPEAN PATENT APPLICATION NO.

EP 03 02 3624

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on  
The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

21-09-2004

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
JP 2000041548 A	15-02-2000	NONE	
EP 1108356 A	20-06-2001	JP 2001161228 A	19-06-2001
		JP 2001161229 A	19-06-2001
		AU 7199300 A	07-06-2001
		CN 1298636 A	13-06-2001
		EP 1108356 A1	20-06-2001
		SG 93912 A1	21-01-2003
		TW 462871 B	11-11-2001
		US 2002162910 A1	07-11-2002
JP 10155402 A	16-06-1998	NONE	

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**Deposit Account Statement**

Requested Statement Month: January 2005  
Deposit Account Number: 501836  
Name: SHINJYU GLOBAL IP COUNSELORS, LLP  
Attention: DAVID L TARNOFF  
Address: 1233 TWENTIETH STREET, NW  
City: WASHINGTON  
State: DC  
Zip: 20036  
Country: UNITED STATES OF AMERICA

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
01/04	9	10794183	SE-US045043	1202	\$126.00	\$4,837.00
01/12	1	10671634	SN-US020633	1806	\$180.00	\$4,657.00
01/14	222	10355232	SNUS025125	1501	\$30.00	\$4,627.00
01/21	75	11035102	SE-US045221	1201	\$300.00	\$4,327.00
01/24	478	10357476	GK-US035011	2501	\$15.00	\$4,312.00
01/27	8	10883991	SN-US030603	1806	\$180.00	\$4,132.00

START	SUM OF	SUM OF	END
BALANCE	CHARGES	REPLENISH	BALANCE
\$4,963.00	\$831.00	\$0.00	\$4,132.00

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1233 Twentieth Street, NW, Suite 700  
Washington, DC 20036  
(202)-293-0444

Dated: Dec 13, 2004

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Adjustment Date: 09/29/2005 SDIRETAL 10671634  
01/12/2005 PALLIN 501836  
01 FC:1806 180.00 CR